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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

C1039/7057 HCL/MAT

First Named Inventor or Application Identifier

Davis, et al.

Express Mail Label No.

EL844533742US

Date of Deposit

September 26, 2001



APPLICATION ELEMENTS **ADDRESS Box Patent Application** See MPEP chapter 600 concerning utility patent application contents TO: Commissioner for Patents Washington, DC 20231 Image: Image: 1. Image: 1. Image: Ima 8. 🗆 CD-ROM or CD-R, in duplicate, large (Submit an original, and a duplicate for fee processing) table or Computer Program (Appendix) Applicant claims small entity status. 9. \square Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) See 37 CFR 1.27. a. ☑ Computer Readable Form (CRF) _□_Statement.filed.in-prior-application, ☑ Specification Sequence Listing on: Status still proper and desired. i. D CD-ROM or CD-R (2 copies); or ☑ paper (identical to computer copy) 3. Specification [Total pages - 94] Statement verifying identity of above copies 83 - pages description 1 - page abstract ACCOMPANYING APPLICATION PARTS 10 - pages claims 108 Total claims 10. 🗆 Assignment Papers/cover sheet & 4. ☑ Drawing(s) (35 USC 113 [Total sheets - 15] documents(s) □ Informal ☑ Formal [Total drawings - 15] ☑ Letter to Official Draftsperson (when there is an assignee) Power of Attorney 5. Oath or Declaration [Total pages = 4] 12. English Translation of Document (if applicable) ☑ Revocation of POA and new POA (2 pages) Information Disclosure Statement PTO-1449 ☐ Newly executed (original or copy) □ Copies of IDS Citations b. ☑ Copy from a prior application (37 CFR 1.63(d)) 14. 🗹 Preliminary Amendment (42 pages) (for continuation/divisional with Box 18 completed) [Note Box 6 below] 15. ☑ Return Receipt Postcard (MPEP 503) ☐ DELETION OF INVENTOR(S) (Should be specifically itemized) Signed statement attached deleting inventor(s) named in the prior application, Certified Copy of Priority Document(s) 16. 🗆 see 37 CFR 1.63(d)(2) and 1.33(b). (if foreign priority is claimed) 6. ☑ Incorporation by Reference Request and Certification Under 35 U.S.C. 17. (usable if Box 5b is checked) §122(b)(2)(B))(ii) The entire disclosure of the prior application, from which a copy of the oath or declaration is 18. ☑ Other: Check in the amount of \$1250.00 supplied under Box 4b, is considered as being part of the disclosure of the accompanying ☑ Revecation of POA and new POA (2-pages) application and is hereby incorporated by reference therein. See 19. 7. Mapplication Data Sheet, See 37 CFR 1.76

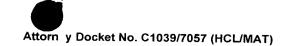
19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:				
☐ Continuation ☑ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/082,649				
☑ Cancel in this application claims 1-58 before calculating the filing fee.				
☑ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:				
This application is a □ Continuation ☑ Divisional □ Continuation –in-part (CIP) of prior application No: 09/082,649, filed May 20, 1998, now allowed, and claiming priority to U.S. Provisional Patent application Nos. 60/047,209 and 60/047,233, both filed May 20, 1997.				
Prior application information: Examiner D. Nguyen Group Art Unit: 1633				
For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.				

	20. CORRESPONDENCE ADDRESS	
Correspondence address belov	/	
CUSTOMER NUMBER:	23628	

OR

AGENT'S NAME	Maria A. Trevisan, Reg. No. 48,207						
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			·				
21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
NAME Maria A. Trevisan Reg. No. 48,207							
SIGNATURE							
DATE 09/26/01							





Inventor(s): Da

Davis et al.

Express Mail Label EL844533742US

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Filed:

September 26, 2001

CHECK BOX, if applicable:

For: Vectors and Methods for Immunization or Therapeutic Protocols

DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE		FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	50-20=	30x	\$ 18	= \$	540.00
	INDEPENDENT CLAIMS (37 CFR -1.16(b))-	2-3=	0 x	\$ 80	= \$	0.00
	MULTIPLE DEPENDE	NT CLAIMS (if applicable)	(37 CFR 1.16(d)) +	\$	= \$	
		and Control was a		BASIC FEE (37 CFR 1.16(a))	\$	710.00
	Fee for Petition for Extension of Time (if any)					
	Total of above Calculations =				\$	1,250.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). Assignment Recordation Fee (if any) Other Fees (if any).					
*						
			-	TOTAL =	\$	1,250.00

1. A check in the amount of \$1,250.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

- 2. a. ☑ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☑1.16 ☑ 1.17 or ☐1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
 - b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐1.16 ☐ 1.17 or ☐ 1.18.
- 3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Docket No. C1039/7057 (HCL/MAT) Date: September 26, 2001

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